

IDS - 01/30/2006

PTO/SB/08A (08-03)

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Sheet 1 of 16

Complete if Known

Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

U. S. PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 5931947	08.03.1999	Burns et al.	
		US- 5918074	06.29.1999	Wright et al.	
		US- 5872783	02.16.1999	Chin	
		US- 5905725	05.18.1999	Sindhu et al.	
		US- 6260155	07.10.2001	Dellacona	
		US- 6160819	12.12.2000	Partridge et al.	
		US- 6266.705	07.24.2001	Ullum et al	
		US- 6263445	07.17.2001	Blumenau	
		US- 6157955	12.05.2000	Nerad et al.	
		US- 6252878	06.26.2001	Locklear, Jr. et al.	
		US- 6292827	09.18.2001	Raz	
		US- 6351775	02.26.2002	Yu	
		US- 6091720	07.18.2000	Bedard et al.	
		US- 6078943	06.20.2000	Yu	
		US-			
		US-			
		US-			
		US-			
		US-			

FOREIGN PATENT DOCUMENTS

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Signature

/Tamara Teslovich/

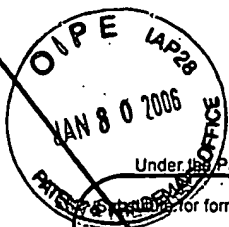
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Sheet

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Application Number

10/016,897

Filing Date

12/3/2001

First Named Inventor

Pham, Duc

Art Unit

2137

Examiner Name

Teslovich, T.

Attorney Docket Number

AESN3005

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		IBM, IBM Network Processor (IBM32NPR161EPXCAC133) Product Overview, Published 10/04/1999	
		BROADCOM, BCM5820 E-Commerce Product Brief, 5820-PB00-R-3.26.01, Published 2001	
		BROADCOM, BCM5840 Gigabit Security Processor Product Brief, 5840-PB00-R-12.6.00, Published 2000	
		IBM, The Network Processor Enabling Technology for High-Performance Networking, Published 8-99	
		IBM, Packet Routing Switch PRS28G Product Sheet, Undated.	
		IBM, Packet Routing Switch PRS28G, Version 1.7 Datasheet, prs28.04.fm, February 6, 2001	
		IBM, IBM PowerNP™ NP4GS3 Network Processor Preliminary Datasheet, May 18, 2001	
		IBM, Product Overview, IBM PowerNP NP4GS3, Network Processor Solutions, April 16, 2001	

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/Tamara Teslovich/

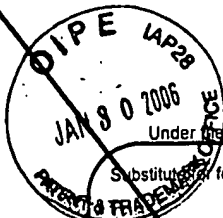
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Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

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of

16

NON PATENT LITERATURE DOCUMENTS

Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		ALAN RADDING, Storage ROI: Truth & Fiction, Infoworld Custom Meda Group, 2001	
		IETF, IP STORAGE WORKING GROUP, iSCSI Requirements and Design Considerations, Document: draft-ietf-ips-iscsi-reqmts-05.txt, July 2001	
		IETF, IP Security Working Group, Security Properties of the IPsec Protocol Suite, Document draft-krywaniuk-ipsec-properties-00.txt, July 9, 2001	
		IETF, iSCSI, Document draft-ietf-ips-iscsi-08.txt, 30-Sep-01	

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Filing Date	12/3/2001
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First Named Inventor	Pham, Duc
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Art Unit	2137
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Examiner Name	Teslovich, T.
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Attorney Docket Number	AESN3005
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Sheet	5	of	16
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U. S. PATENT DOCUMENTS

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Examiner Signature	/Tamara Teslovich/	Date Considered	07/23/2006
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INFORMATION DISCLOSURE STATEMENT BY APPLICANT

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Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc et al
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3006

Sheet 6 of 16

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First Named Inventor	Pham, Duc
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Art Unit	2137
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		Number-Kind Code ² (if known)			
		US- 5,822,531	10-13-1998	Gorczyca et al.	
		US- 6,006,255	12-21-1999	Adelman et al.	
		US- 6,470,389	10-22-2002	Chung et al.	
		US- 6,493,318	12-10-2002	Bare	
		US- 6,493,341	12-10-2002	Datta et al.	
		US- 5,655,120	08-05-1997	Witte et al.	
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		US- 5,862,348	01-19-1999	Pedersen	
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		US- 6,438,652	08-20-2002	Jordan et al.	
		US- 6,424,621	07-23-2002	Ramaswamy et al.	
		US- 6,173,306	01-09-2001	Raz et al.	
		US- 6,272,522	08-07-2001	Lin et al.	
		US- 6,505,254	01-07-2003	Johnson et al.	

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First Named Inventor	Pham, Duc
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Art Unit	2137
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Examiner Name	Teslovich, T.
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Attorney Docket Number	AESN3005
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Sheet 10 of 16**U. S. PATENT DOCUMENTS**

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		US- 6,185,881	02-2001	Zizzi, Stephen	
		US- 5,584,023	12-1996	Hsu, Mike	
		US- 5,596,718	01-1997	Boebert et al.	
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		US- 6,665,666	12-2003	Brown et al.	
		US- 6,671,773	12-2003	Kazar et al.	
		US- 2002/0091734	07-2002	Redlich et al.	
		US- 2003/0046366	03-2003	Pardikar et al.	
		US- 2003/0056095	03-2003	Elliott et al.	
		US- 2003/0112977	06-2003	Ray et al.	
		US- 2003/0196114	10-2003	Brew et al.	
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		WO 9900958	01-1999	LEVERIDGE et al		
		JP 08272667	10-1996	NAKAMURA, Y.		

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		Application Number	10/016,897
		Filing Date	12/3/2001
		First Named Inventor	Pham, Duc
		Art Unit	2137
		Examiner Name	Teslovich, T.
Sheet 11 of 16	Attorney Docket Number	AESN3005	

NON PATENT LITERATURE DOCUMENTS			
Examiner Initials*	Cite No. ¹	Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T ²
		BERTINO et al., Advanced Transaction Processing in Multilevel Secure File Stores, 1998 IEEE	
		CATTANEO et al., The Design and Implementation of Transparent Cryptographic Filesystems for UNIX, June 2001	
		LUDWIG et al., File System encryption with Integrated User Management, October 2001 ACM	
		O'CONNELL et al., JFS: A Secure Distributed File System for Network Computers, 1999	

Examiner Signature	/Tamara Teslovich/	Date Considered	07/23/2006
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¹ Applicant's unique citation designation number (optional). ² Applicant is to place a check mark here if English language Translation is attached. This collection of information is required by 37 CFR 1.98. The information is required to obtain or retain a benefit by the public which is to file (and by the USPTO to process) an application. Confidentiality is governed by 35 U.S.C. 122 and 37 CFR 1.14. This collection is estimated to take 2 hours to complete, including gathering, preparing, and submitting the completed application form to the USPTO. Time will vary depending upon the individual case. Any comments on the amount of time you require to complete this form and/or suggestions for reducing this burden, should be sent to the Chief Information Officer, U.S. Patent and Trademark Office, P.O. Box 1450, Alexandria, VA 22313-1450. DO NOT SEND FEES OR COMPLETED FORMS TO THIS ADDRESS. SEND TO: Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450.

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**INFORMATION DISCLOSURE
STATEMENT BY APPLICANT**

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Complete if Known

Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

Sheet 13 of 16**U. S. PATENT DOCUMENTS**

Examiner Initials*	Cite No. ¹	Document Number Number-Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		US- 6,202,157	03-13-2001	Brownlie et al.	
		US- 6,219,790	04-17-2001	Lloyd et al.	
		US- 6,246,771	06-12-2001	Stanton et al.	
		US- 6,253,321	06-26-2001	Nikander et al.	
		US- 6,282,652	08-28-2001	Scheifler	
		US- 6,158,011	12-05-2000	Chen et al.	
		US- 6,286,104	09-04-2001	Buhle et al.	
		US- 6,304,973	10-16-2001	Williams	
		US- 6,438,612	08-20-2002	Ylonen et al.	
		US- 6,354,886	03-05-2002	Howard et al.	
		US- 6,499,110	12-24-2002	Moses et al.	
		US- 6,496,932	12-17-2002	Trieger	
		US- 6,571,287	05-27-2003	Knight et al.	
		US- 6,584,508	06-24-2003	Epstein et al.	
		US- 6,502,192	12-31-2002	Nguyen	
		US- 6,505,300	01-07-2003	Chan et al.	
		US- 6,519,636	02-11-2003	Engel et al.	
		US- 6,539,483	03-25-2003	Harrison et al.	
		US-			

FOREIGN PATENT DOCUMENTS

Examiner Initials*	Cite No. ¹	Foreign Patent Document Country Code ³ Number ⁴ Kind Code ⁵ (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages Or Relevant Figures Appear	T ⁶
		WO 02/103498	12-27-2002	Gladstone		
		WO 01/37095	05-25-2001	Hollander		

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Date
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07/23/2006

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STATEMENT BY APPLICANT**

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Sheet	14	of	16
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Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

U. S. PATENT DOCUMENTS

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		Number-Kind Code ^{2 (if known)}			
		US- 5,321,841	06-14-1994	East et al.	
		US- 5,440,635	08-08-1995	Bellovin et al.	
		US- 5,349,642	09-20-1994	Kingdon	
		US- 4,503,287	03-05-1985	Morris et al.	
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		US- 5,506,961	04-09-1996	Carlson et al.	
		US- 4,649,233	03-10-19987	Bass et al.	
		US- 5,412,717	05-02-1995	Fischer	
		US- 5,701,343	12-23-1997	Takashima et al.	
		US- 5,638,448	06-10-1997	Nguyen	
		US- 5,682,478	10-28-1997	Watson et al.	
		US- 5,657,390	08-12-1997	Zigamal et al.	
		US- 5,602,918	02-11-1997	Chen et al.	
		US- 5,649,099	07-15-1997	Thelmer et al.	
		US- 5,790,800	08-04-1998	Gauvin et al.	
		US- 5,680,461	10-21-1997	McManis	
		US- 5,784,463	07-21-1998	Chen et al.	

FOREIGN PATENT DOCUMENTS

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Date
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Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

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		Number-Kind Code ² (if known)			
		US- 6,052,785	04-18-2000	Lin et al.	
		US- 5,941,947	08-24-1999	Brown et al.	
		US- 5,825,890	10-20-1998	Elgamal et al.	
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		US- 5,922,073	07-13-1999	Shimada	
		US- 6,061,796	05-09-2000	Chen et al.	
		US- 6,084,969	07-04-2000	Wright et al.	
		US- 2001/0016907	08-23-2001	Kang et al.	
		US- 2002/1094495	12-19-2002	Gladstone et al.	
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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)			

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Sheet	16	of	16
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Application Number	10/016,897
Filing Date	12/3/2001
First Named Inventor	Pham, Duc
Art Unit	2137
Examiner Name	Teslovich, T.
Attorney Docket Number	AESN3005

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		Country Code ³ Number ⁴ Kind Code ⁵ (if known)				
		WO 02/093389	11.21.2002	Avida		
		WO 02/093314	11.21.2002	Avida		

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